## Nasdaq rules and regulations



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Certificate of Amendment of Certificate of Incorporation of NASDAQ OMX BX, Inc. NEW LISTING RULES. Proposals to Change Rules That Are Incorporated by Reference in the BX Rules. Until May...

Rules and regulations serve an important purpose to sustain confidence in the financial market, enable a common framework for listed companies and protect minority stakeholders such as...

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The term "Rules" or "Rules of Nasdaq" means the numbered rules set forth in the Nasdaq Manual beginning with the Rule 0100 Series, as adopted by the Nasdaq Board of Directors pursuant to the By-Laws of the

Nasdaq"s Listing Qualifications Team reviews and approves companies applying to list securities on Nasdaq.

Generally, Nasdaq rules allow Direct Listings, as defined in IM-5315-1, ...

Rule 5810(b) requires that a Company make a public announcement by filing a ...

NASDAQ''S REGULATORY AUTHORITY. 5101. Preamble to the Rule 5100 Series. ...

(a) Application Fee. A Company that submits an application to list a class of ...

In addition to the existing obligations under Nasdaq rules regarding the production of ...

(a) Applicability. The Rules in Options 4C shall be applicable to the trading on the ...

Exchange Rules that refer to the Exchange's Regulation Department, ...

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